

**United States District Court**  
**for the**  
**Eastern District of Washington**

FILED IN THE  
U.S. DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

Dec 19, 2018

SEAN F. McAVOY, CLERK

## Petition for Warrant or Summons for Offender Under Supervision

Name of Offender: Anthony Ray Escobar Case Number: 0980 2:11CR00017-RMP-1

Address of Offender: Pasco, Washington 99301

Name of Sentencing Judicial Officer: The Honorable Ann D. Montgomery, U.S. District Judge

Name of Supervising Judicial Officer: The Honorable Rosanna Malouf Peterson, U. S. District Judge

Date of Original Sentence: February 26, 2003

Original Offense: Narcotics, 21 U.S.C. § 846, 841(a)(1) and (b)(1)(A)

Original Sentence: Prison - 121 months; TSR - 60 months      Type of Supervision: Supervised Release

Revocation	Prison - 8 months; TSR - 42
Sentence:	months
June 24, 2015	

Revocation                      Prison - 7 months; TSR - 35  
Sentence:                      months  
September 28, 2017

Asst. U.S. Attorney: Shawn N. Anderson Date Supervision Commenced: March 20, 2018

Defense Attorney: Daniel N. Rubin Date Supervision Expires: February 19, 2021

## PETITIONING THE COURT

To incorporate the violations contained in this petition in future proceedings with the violations previously reported to the Court on 11/30/2018.

The probation officer believes that the offender has violated the following condition of supervision:

<u>Violation Number</u>	<u>Nature of Noncompliance</u>
1	1. The company failed to maintain accurate records of all transactions, leading to discrepancies in the financial statements.
2	2. The company failed to disclose all related party transactions, which is a violation of the transparency requirements.
3	3. The company failed to implement adequate internal controls, resulting in the misstatement of the financial statements.
4	4. The company failed to provide timely and accurate financial reporting, which is a violation of the reporting requirements.
5	5. The company failed to maintain proper documentation of all financial transactions, leading to a lack of audit trail.
6	6. The company failed to comply with the requirements of the Sarbanes-Oxley Act, specifically regarding the certification of financial statements.
7	7. The company failed to disclose all material information, which is a violation of the disclosure requirements.
8	8. The company failed to maintain proper segregation of duties, leading to a lack of oversight and control.
9	9. The company failed to implement adequate risk management practices, resulting in the misstatement of the financial statements.
10	10. The company failed to comply with the requirements of the Dodd-Frank Act, specifically regarding the disclosure of executive compensation.

7 **Special Condition # 4:** You must abstain from the use of illegal controlled substances, and must submit to urinalysis and sweat patch testing, as directed by the supervising officer, but no more than 6 tests per month, in order to confirm continued abstinence from these substances.

**Supporting Evidence:** The offender is considered to be in violation of his period of supervised release by failing to report for random urinalysis testing on or prior to December 17, 2018.

On March 22, 2018, the offender signed his Judgement in a Criminal Case stating he understood he was required to attend random urinalysis testing.

On October 4, 2018, the offender signed the Treatment Services Contract Program Plan noting he understood he needed to call Merit Resource Services on a daily basis and report for random urinalysis testing when the color Brown was noted.

On December 17, 2018, the color Brown was called and the offender failed to report for random urinalysis testing.

The U.S. Probation Office respectfully recommends the Court to incorporate the violation contained in this petition in future proceedings with the violations previously reported to the Court.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on: 12/19/18

s/David L. McCary

David L. McCary  
U.S. Probation Officer

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## THE COURT ORDERS

- ☐ No Action
- ☐ The Issuance of a Warrant
- ☐ The Issuance of a Summons
- ☒ The incorporation of the violation(s) contained in this petition with the other violations pending before the Court.
- ☐ Defendant to appear before the Judge assigned to the case.
- ☒ Defendant to appear before the Magistrate Judge.
- ☐ Other



Signature of Judicial Officer

12/19/2018

Date